PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	10601669
Filing Date	2004-06-24
First Named Inventor	John BARANOWSKI
Art Unit	3651
Examiner Name	Rashmi K. SHARMA
Attorney Docket Numb	per 016354.0198

	Remove					
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
RS	1	4191294	B1	1980-03-04	McGrath et al.	
RS	2	4548287	B1	1985-09-22	Matsuura	ı
RS	3	4553616	B1	1985-11-19	Haze	
RS	4	4784275	B1	1988-11-15	Alan	
RS	5	5765655	B1	1998-06-16	Tatsuoka	
RS	6	6351676	B1	2002-02-26	Archer et al.	
RS	7	3771574	B1	1973-11-13	Creed et al.	
RS	8	4004620	B1	1977-01-25	Rosen	

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number	1.	10601669		
Filing Date		2004-06-24		
First Named Inventor	John	n BARANOWSKI		
Art Unit		3651		
Examiner Name Ras		hmi K. SHARMA		
Attorney Docket Numb	er	016354.0198		

RS	9	4657054	В1	1987-04-14	Schaltegger					
RS	10	4719739	B1	1988-01-19	Foldesi					
RS	11	4753275	B1	1988-06-28	Schaltegger					
RS	12	4931122	B1	1990-06-05	Mitchell					
RS	13	6772806	B2	2004-08-10	DeVillele					
If you wis	h to a	dd additional U.S. Paten		<u> </u>	ease click the Add button.	Add				
		U.S.PATENT APPLICATION PUBLICATIONS Remove								
		<sub>v</sub>	,	T						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear				
		Publication Number 20020139589			Name of Patentee or Applicant	Pages,Columns,Lines where Relevant Passages or Relevant				
Initial*	No		Code <sup>1</sup>	Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant				
Initial*	No 1	20020139589	Code <sup>1</sup>	Date 2002-09-03	Name of Patentee or Applicant of cited Document  Matsuyama et al.	Pages,Columns,Lines where Relevant Passages or Relevant				

					I A19		<del></del>		1,000,000				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)				Application Number				10601669					
				Filing Date				1	2004-06-24				
				First Named Inventor John				n BARANOWSKI			· · · · ·		
				Art Ur	nit			3651					
				Exam	Examiner Name Rash			hmi K. SHARMA					
					Attorn	Attorney Docket Number			016354.0198				
											<del>-</del>		
rs 5 20040134926 A1			2004-07-15		Baranows	ski							
RS	6	2004016	4088	A1	2004-08-26 Baranowski		ski				•		
If you wis	h to a	dd additio	nal U.S. Publi	shed Ap	plication	citatio	n informati	on pl	ease click the Add	butto	n. Add	]	
					FOREIG	SN PAT	ENT DOC	UME	NTS		Remove		
Examiner Initial*	Cite No	Foreign (	Document 3	Country	/ Code <sup>2</sup>	Code <sup>2</sup> Kind Publication Date		n .	Name of Patentee or Applicant of cited Document		where Re	or Relevant	T5
	1												
If you wis	h to a	dd additioi	nal Foreign P	atent Do	cument	citation	informatio	n ple	ase click the Add	buttor	Add		<u> </u>
				NON	I-PATEN	NT LITE	RATURE	DOC	UMENTS		Remove		
Examiner Initials*	Examiner Initials*  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							<b>T</b> 5					
	1												
If you wis	h to a	dd additior	nal non-paten	t literatu	re docur	ment cit	ation infor	matio	n please click the	Add b	outton A	dd	
					EX	AMINE	R SIGNAT	URE					
Examiner	Signa	ature /	Rashmi Sharr	na/					Date Conside	red	08/22/20	006	
									rmance with MPE ith next communic				

<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10601669		
Filing Date		2004-06-24		
First Named Inventor	Johr	BARANOWSKI		
Art Unit	·	3651		
Examiner Name	Ras	Rashmi K. SHARMA		
Attorney Docket Numb	er	016354.0198		

	CERTIFICATION STATEMENT							
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.							
OR								
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.							
	See attached cer	rtification statement.						
$\checkmark$	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.							
	None							
	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Sigr	nature	/James B. Arpin/	Date (YYYY-MM-DD)	2006-01-12				
Nan	Name/Print James B. Arpin Registration Number 33470							

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.